

Penallta House,
Tredomen Park,
Ystrad Mynach,
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Parc Tredomen,
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For all enquiries relating to this agenda please contact Charlotte Evans
(Tel: 01443 864210 Email: EVANSCA1@caerphilly.gov.uk)

Date: 7th September 2016

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held **Sirhowy Room, Penallta House, Tredomen, Ystrad Mynach** on **Wednesday, 14th September, 2016** at **10.00 am** to consider the matters contained in the following agenda.

Yours faithfully,

A handwritten signature in blue ink that reads 'Chris Burns'.

Chris Burns
INTERIM CHIEF EXECUTIVE

A G E N D A

Pages

- 1 To receive apologies for absence.
- 2 Declarations of Interest.

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

To approve and sign the following minutes: -

- 3 Audit Committee held on 8th June 2016.

1 - 6

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To receive and consider the following reports: -

4	Report by External Audit on Financial Statements for 2015/16.	7 - 24
5	Annual Review of Complaints Received under the Council's Corporate Complaints Policy 1st April 2015 to 31st March 2016.	25 - 42
6	Corporate Risk Monitoring.	43 - 52
7	Internal Audit Services: Annual Outturn Report 2015/2016.	53 - 60
8	2014/15 National Fraud Initiative Update.	61 - 64

To receive and note the following information items:-

9	Update on the Numbers of Complaints Received Under The Council's Corporate Complaints Policy.	65 - 72
10	Regulation of Investigatory Powers Act 2000.	73 - 74
11	Officers Declarations of Gifts and Hospitality - April to June 2016.	75 - 80
12	Financial Resilience Report.	81 - 102
13	Certificate of Compliance - Audit of Caerphilly County Borough Council's 2016-17 Improvement Plan.	103 - 104
14	Corporate Governance Panel Minutes - 19th November 2015.	105 - 106
15	Corporate Governance Panel Minutes - 4th March 2016.	107 - 108

**If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Charlotte Evans, 01443 864210, by 10.00 a.m. on Tuesday 13th September 2016.*

Circulation:

Councillors L. Ackerman, Mrs E.M. Aldworth, Mrs K.R. Baker, D.G. Carter, N. Dix, Ms J. Gale, C. Hawker, Ms J.G. Jones, Mrs G.D. Oliver, J.A. Pritchard, D. Rees (Chair) and J. Simmonds

Lay Member – Mr N.D. Yates (Vice Chair)

Auditors - Ms S.J. Byrne (Wales Audit Office), Ms N. Jenkins (Wales Audit Office), Laura Hallez (Grant Thornton UK LLP) and Barrie Morris (Grant Thornton UK LLP)

And Appropriate Officers.